



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/929,938	08/15/2001	Nicola John Policicchio	8666

CONFIRMATION NO. 1637

27752  
THE PROCTER & GAMBLE COMPANY  
PATENT DIVISION  
IVORYDALE TECHNICAL CENTER - BOX 474  
5299 SPRING GROVE AVENUE  
CINCINNATI, OH 45217

Date Mailed: 10/22/2001



## RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

## Claims, Fees, Inventors and Continuity

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

- ☐ The total number of claims appearing on the Filing Receipt does not include multiple dependent claims. The total fee appearing on the Filing Receipt includes the cost of multiple dependent claims that were present at the time the application was filed.
- ☐ The filing fee is correct. It includes the surcharge under 37 CFR 1.16(e) for filing an oath/declaration or basic filing fee after the application filing date.
- ☐ The inventor information may be truncated if the family name consists of more than 50 characters (letters and spaces combined) and if the given name consists of more than 50 characters (letters and spaces combined). The inventor's residence allows for up to 40 characters (letters and spaces combined).
- ☐ The docket number allows a maximum of 25 characters.
- ☒ Continuity claimed under 35 USC 120 cannot be added to the Filing Receipt without supplying the relationship (i.e. continuation, divisional...).
- ☐ Foreign priority will appear on the Filing Receipt in the following order:  
**Country, Application number, Filing date.**
- ☐ The person signing on behalf of the deceased inventor will be reflected on your Filing Receipt as the legal representative.
- ☐ Only one character per space is allowed. Therefore, punctuation which is usually placed above or below a letter, such as an umlaut (..), cannot be included on the Filing Receipt.

#6

IN THE UNITED STATES PATENT & TRADEMARK OFFICE  
PRELIMINARY AMENDMENT AND REQUEST FOR CORRECTED FILING RECEIPT

Case Docket No. 8666

Office of Initial Patent Examination  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231



COPY OF PAPERS  
ORIGINALLY FILED

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on 12/6/01

THIBAUT FAYETTE  
Name of Attorney/Agent

[Signature]  
Attorney or Agent

Dear Sir:

Transmitted herewith is a PRELIMINARY AMENDMENT AND REQUEST FOR CORRECTED FILING RECEIPT for the patent application:

Inventor(s): Policicchio et al.

Serial No.: 09/929,938

Group Art Unit: 1744

Date Filed: August 15, 2001

Examiner: Not Yet Assigned

Title: ADAPTER PLATES FOR CLEANING IMPLEMENT

1. ☒ [X] No additional fee is known to be required.  
2. ☐ [ ] The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)	OTHER THAN A SMALL ENTITY	
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA*	RATE	FEE
TOTAL	*	MINUS	**	=	x \$18 =	\$
INDEP.	*	MINUS	***	=	x \$80 =	\$
FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					+ \$270 =	\$
					TOTAL	\$

3. ☐ [ ] The Commissioner is hereby petitioned under 37 CFR §1.136(a) to grant any extension of time needed for timely response to the Office Action dated in the above-identified application to preserve pendency of said application. The processing fee under 37 CFR §1.17 has been determined as follows: \$ for a month extension of time.
4. The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 16-2480. A duplicate copy of this sheet is attached.
- a. ☒ [x] Any patent application processing fees under 37 CFR §1.16.  
b. ☒ [x] Any patent application processing fees under 37 CFR §1.17.
5. The Commissioner is hereby authorized to make any additional copies of this sheet needed to accomplish the purposes provided for herein and to charge any fee for such copies to Deposit Account No. 16-2480.

[Signature]  
Thibaut Fayette  
Attorney for Applicant(s)  
Tel. No. (513) 627-4593

December 6, 2001  
K:/TF/8666/tr8666prelamd112701  
Customer Number: 27752



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/929.938	08/15/2001	Nicola John Policicchio	8666

**CONFIRMATION NO. 1637**

27752

THE PROCTER & GAMBLE COMPANY  
PATENT DIVISION  
IVORYDALE TECHNICAL CENTER - BOX 474  
5299 SPRING GROVE AVENUE  
CINCINNATI, OH 45217

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ORIGINALLY FILED**

## FORMALITIES LETTER



\*OC000000006582626\*

Date Mailed: 09/20/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

**Filing Date Granted**

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

***A copy of this notice MUST be returned with the reply.***

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

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*A copy of this notice MUST be returned with the reply.*

*A*

---

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

20140701 08:00:00

#4



**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE  
UNITED STATE PATENT AND TRADEMARK OFFICE**

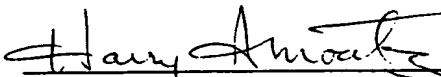
**COPY OF PAPERS  
ORIGINALLY FILED**

**LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)**

Thibault Fayette is hereby given limited recognition under 37 CFR § 10.9(b) as an employee of the Proctor & Gamble Company to prosecute patent applications in which the Proctor & Gamble Company is the assignee of all right, title and interest. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Thibault Fayette ceases to lawfully reside in the United States, (ii) Thibault Fayette's employment with the Proctor & Gamble Company ceases or is terminated, or (iii) Thibault Fayette ceases to remain or reside in the United States on an H-1 visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

**Expires: May 30, 2002**

  
\_\_\_\_\_  
Harry I. Moatz  
Director of Enrollment and Discipline

COPY OF PAPERS  
ORIGINALLY FILED

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on December 6, 2001

Thibault Fayette

Name of Attorney or Agent

Signature of Attorney or Agent

P&G Case 8666



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Policicchio et al.

Serial No. 09/929,938

Filed August 15, 2001

For ADAPTER PLATES FOR CLEANING IMPLEMENT

Confirmation No. 1637

Group Art Unit: 1744

Examiner: Not Yet Assigned

REQUEST FOR CORRECTION OF FILING RECEIPT

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Dear Sir:

Applicants hereby request a corrected filing receipt. Attached is a copy of the Filing Receipt with the changes noted thereon. Specifically, there is an error in the *Domestic Priority data as claimed by applicant* on the original Filing Receipt. Please make the following correction:

Please omit "

This appln claims benefit of 60/162,935 11/02/1999

And claims benefit of 60/110,476 12/01/1998

And claims benefit of 60/184,780 02/24/2000

And claims benefit of 60/156,286 09/27/1999 "

and insert -

This application is a Continuation-in-part and claims the benefit of 09/723,026 11/27/2000

which is a Continuation-in-part of PCT/US99/26579 11/09/1999

which claims the benefit of 60/162,935 11/02/1999

and 60/110,476 12/01/1998

This application is also a Continuation-in-part and claims the

benefit of 09/723,025 11/27/2000

which is a Continuation-in-part of PCT/US99/26579 11/09/1999

This application is also a Continuation-in-part and claims the

benefit of 09/831,480 11/09/1999

This application is also a Continuation-in-part and claims the

benefit of 09/788,761 02/20/2001

and 60/184,780 02/24/2000

Applicants submit that the error was not the fault of the Applicants. Accordingly, no fee is believed to be due.

Respectfully submitted,

By

Thibault Fayette

Attorney for Applicants

Tele. No. (513) 627-4593

K:/TF/8666/8666corrfilerec

Customer Number: 27752

12/6/01

# 11

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Thibault Fayette  
Name of Attorney or Agent

Signature of Attorney or Agent

P&G Case 8666

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Policicchio et al.

Serial No. 09/929,938

Filed August 15, 2001

For ADAPTER PLATES FOR CLEANING IMPLEMENT

Confirmation No. 1637

Group Art Unit: 1744

Examiner: Not Yet Assigned

REQUEST FOR CORRECTION OF FILING RECEIPT

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Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

Dear Sir:

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which is a Continuation-in-part of PCT/US99/26579 11/09/1999

which claims the benefit of 60/162,935 11/02/1999

and 60/110,476 12/01/1998

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and 60/184,780 02/24/2000

Applicants submit that the error was not the fault of the Applicants. Accordingly, no fee is believed to be due.

Respectfully submitted,

By

Thibault Fayette  
Attorney for Applicants  
Tele. No. (513) 627-4593

12/6/01

K:/TF/8666/8666corrfilec

Customer Number: 27752

**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT (\$)** 110.00**Complete if Known**

Application Number	09/929,938
Confirmation Number	1637
Filing Date	August 15, 2001
First Named Inventor	Policicchio et al.
Examiner Name	Not Yet Assigned
Group/Art Unit	Not Yet Assigned
Attorney Docket No.	8666

**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 16-2480

Deposit Account Name The Procter &amp; Gamble Company

- ☒
- Charge Any Additional Fee Required Under status. 37 C.F.R. §§1.16 and 1.17

**FEE CALCULATION****1. BASIC FILING FEE - Large Entity**

Code (\$)	Fee Description	Fee Paid
101 740	Utility filing fee	<input type="checkbox"/>
106 330	Design filing fee	<input type="checkbox"/>
108 740	Reissue filing fee	<input type="checkbox"/>
114 160	Provisional filing fee	<input type="checkbox"/>

**SUBTOTAL (1)** (\$)☐**2. EXTRA CLAIM FEES - Large Entity**

	Extra	Fee from	Fee
	Claims	Below	Paid
Total Claims	<input type="checkbox"/> - 20** = <input type="checkbox"/> x	<input type="checkbox"/> =	<input type="checkbox"/>
Independent Claims	<input type="checkbox"/> - 3** = <input type="checkbox"/> x	<input type="checkbox"/> =	<input type="checkbox"/>
Multiple Dependent		<input type="checkbox"/> =	<input type="checkbox"/>

\*\* or number previously paid, if greater; For Reissues, see below

Code (\$)	Fee Description
103 18	Claims in excess of 20
102 84	Independent claims in excess of 3
104 280	Multiple dependent claim, if not paid
109 84	**Reissue independent claims over original patent
110 18	**Reissue claims in excess of 20 & over original patent

**SUBTOTAL (2)** (\$)☐**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Code (\$)	Fee Description	Fee Paid
105 130	Surcharge-late filing fee or oath	<input type="checkbox"/>
127 50	Surcharge-late provisional filing fee or cover sheet	<input type="checkbox"/>
139 130	Non-English specification	<input type="checkbox"/>
147 2,520	For filing a request for <i>ex parte</i> reexamination	<input type="checkbox"/>
112 920*	Requesting publication of SIR prior to Examiner's action	<input type="checkbox"/>
113 1,840*	Requesting publication of SIR after Examiner's action	<input type="checkbox"/>
115 110	Extension for reply within 1 <sup>st</sup> month	<input type="checkbox"/> [110]
116 400	Extension for reply within 2 <sup>nd</sup> month	<input type="checkbox"/>
117 920	Extension for reply within 3 <sup>rd</sup> month	<input type="checkbox"/>
118 1,440	Extension for reply within 4 <sup>th</sup> month	<input type="checkbox"/>
128 1,960	Extension for reply within 5 <sup>th</sup> month	<input type="checkbox"/>
119 320	Notice of Appeal	<input type="checkbox"/>
120 320	Filing a brief in support of an appeal	<input type="checkbox"/>
121 280	Request for oral hearing	<input type="checkbox"/>
138 1,510	Petition to institute a public use proceeding	<input type="checkbox"/>
140 110	Petition to revive - unavoidable	<input type="checkbox"/>
141 1,280	Petition to revive - unintentional	<input type="checkbox"/>
142 1,280	Utility issue fee (or reissue)	<input type="checkbox"/>
143 460	Design issue fee	<input type="checkbox"/>
122 130	Petitions to the Commissioner	<input type="checkbox"/>
123 50	Petitions related to provisional applications	<input type="checkbox"/>
126 180	Submission of Information Disclosure Statement	<input type="checkbox"/>
146 740	Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="checkbox"/>
149 740	For each additional invention to be examined (37 CFR § 1.129(b))	<input type="checkbox"/>
179 740	Request for Continued Examination (RCE)	<input type="checkbox"/>
169 900	Request for expedited examination of a design application	<input type="checkbox"/>
091 1280	Acceptance of unintentionally delayed claim for priority under 35 U.S.C. 119, 120, 121, or 365 (a) or (c)	<input type="checkbox"/>
	Other fee (specify) _____	<input type="checkbox"/>
	Other fee (specify) _____	<input type="checkbox"/>

\* Reduced by Basic Filing Fee Paid **SUBTOTAL(3)** (\$)☐**SUBMITTED BY**

Name (Print/Type)

Thibault Fayette

Signature

**Complete (if applicable)**

Telephone

(513) 627-4593

Date

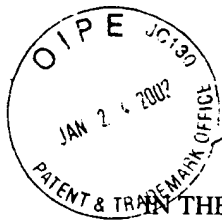
November 27, 2001

WARNING: Information on this form may become public. Credit Card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, D.C. 20231.



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Thibault Fayette  
Name of Attorney or Agent  
[Signature]  
Signature of Attorney or Agent

#5  
P&G Case 8666

In the application of:

**Pollicchio et al.**

Serial No. 09/929,938

Confirmation No. 1637

Filed August 15, 2001

For ADAPTER PLATES FOR CLEANING IMPLEMENT

**COPY OF PAPERS  
ORIGINALLY FILED**

COMPLETION OF FILING REQUIREMENTS

Box PGPUB DRAWINGS  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

This replies to the Notice to File Corrected Application Papers mailed September 20, 2001. A copy of the Notice to File Corrected Application Papers is enclosed. To complete the informalities noted on the Notice to File Corrected Application Papers, applicant submits herewith:

***New drawings complying with the size requirements (in compliance with 37 CFR §1.84)***

The Commissioner is hereby authorized to charge payment of the surcharge set forth in 37 CFR §1.16(e) to Deposit Account No. 16-2480. The Commissioner is also hereby authorized to charge payment of any patent application processing fees under 37 C.F.R. §§1.16 and 1.17 associated with this communication or credit any overpayment to Deposit Account No. 16-2480. A duplicate copy of this sheet is attached.

The Commissioner is also hereby petitioned under 37 C.F.R. 1.136(a) to grant any extension of time needed for timely response to the Notice to File Missing Parts to preserve the pendency of the above-identified application. The processing fee under 37 C.F.R. §1.136(a) is as follows:

***\$110.00 for one-month extension of time***

The Commissioner is hereby authorized to make any additional copies of this sheet needed to accomplish the purposes provided for herein and to charge any fee for such copies to Deposit Account No. 16-2480.

01/29/2002 JADD01 00000109 162480 09929938  
01 FC:115 110.00 CH

Respectfully submitted,

By [Signature]  
Thibault Fayette  
Attorney for Applicant(s)  
(513) 627-4593

November 27, 2001  
K:/TF/8666/8666respformlett  
Customer Number: 27752